

EXPRESS MAIL LABEL NO.: EV 333 999 101 US

AMENDMENT UNDER 37 C.F.R. §1.111

Address to:
Mail Stop
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

	Attorney Docket No.	UCAL-234	
٠	Confirmation No.	1891 :	
	First Named Inventor	CHEN, LIN-FENG	
	Application Number	09/884,875	
	Filing Date	June 18, 2001 1636	
	Group Art Unit		
	Examiner Name	LEFFERS JR., GERALD G.	
	Title: "METHODS FO	R IDENTIFYING	
	MODULATORS	S OF NF-KB ACTIVITY"	

Sir:

This amendment is responsive to the Office Action dated June 6, 2003 for which a three-month period for response was given making this response due on or before September 6, 2003. This due date fell on a weekend, making this amendment timely filed on the next business day, which is September 8, 2003. In view of the amendments to the claims and the remarks put forth below, reconsideration and allowance are respectfully requested.

12-17-03

+

prage GAU-1636

C 1 5 2003 Please type a plus sign (+) inside this box →

PTO/SB/21 (05-03)
Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

			Application Number	09/884,875		
TRANSMITTAL FORM				Filing Date	June 18, 2001	
			-	First Named Inventor	CHEN, LIN-FENG	
				Group Art Unit	1636	
	(to be used for all correspondence after initial filing) Total Number of Pages in This Submission			Examiner Name	LEFFERS JR., GERALD G.	
				Attorney Docket Number	UCAL-234	
				RES (check all that apply)		
	Extension of T Express Aband Information Dis Certified Copy Documents Response to M Incomplete Ap	ched Reply al s/declaration(s) ime Request donment Request sclosure Statement of Priority	Contact Cont	on to Convert to a sional Application r of Attorney, Revocation ge of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): 1) Communication (1 pg.) 2) Copy of Notice of Non-Responsive Amendment (1 pg.) 3) Copy of Amendment as filed on September 8, 2003 (9 pgs.) 4) Return Postcard	
	-	SIGNA	TURE OF APP	LICANT, ATTORNEY, OF	RAGENT	
Signing Attorney/Agent (Reg. No.) Signature EDWARD J. BABA, 52,581 BOZICEVIC, FIELD & FRANCIS LLP				5		
Date		December 15,/2003				

EXPRESS MAIL LABEL NO. EV333998579US

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231

WWW.USDIO.GOV

APPLICATION NO./ FILING DATE FIRST NAMED INVENTOR / ATTORNEY DOCKET NO. PATENT IN REEXAMINATION



EXAMINER

Gerald G. Leffers Jr.

ART UNIT PAPER

1636 20031129

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents

Notice of Non-Responsive Amendment

The communication filed on 9/8/03 is not fully responsive to the Office communication mailed on 6/6/03. Specifically, the response is largely illegible at the bottom of each page. For example, the examiner cannot even make out any part of amended claim 6. This may well be a result of poor scanning in to the new IFW format. At this point, it appears applicants may well have overcome outstand rejections under 112 2nd paragraph, but the examiner cannot reach a final decision unless a legible copy of each claim, and all of applicants' arguments, is in the response. It would be remedial to send in a second, legible copy of the response. Please send the copy of the response directly to (703) 872-9306. To the extent this is an error in scanning applicants' response, the examiner apologizes.

Applicant is given a TIME PERIOD of ONE (1) MONTH or THIRTY (30) DAYS from the mailing date of this notice, whichever is longer, within which to submit an amendment in compliance with 37 CFR 1.121, effective, March 21, 2001, in order to avoid

abandonment.

EXTENSIONS OF THIS TIME MAY BE GRANTED UNDER 37 CFR 1.136(a).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gerald G. Leffers Jr. whose telephone number is (703)-308-6232. The examiner can normally be reached on Monday through Friday, 9:30 am to 6:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Remy Yucel can be reached at (703) 305-1998. The fax number for the organization where this application or proceeding is assigned is (703) 305-1998.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to Zeta Adams whose telephone number is (703) 305-3291.

Gerald G. Leffers Jr., PhD. Examiner, Art Unit 1636

GERRY LEFFERS
PRIMARY EXAMINER

Gerald G Leffers Jr., PhD Primary Examiner Art Unit: 1636





BOZICEVIC, FIELD & FRANCIS LLP 200 MICOLEFIELD RD., SUITE 200 MENLO PARK, CA 94025

Atty/Sec: CLF/mpc

Atty Docket No. UCAL-234

Application No.: 09/884,875 Confirmation No.: 1891

Inventor(s): CHEN, LIN-FENG

Title: "METHODS FOR IDENTIFYING MODULATORS OF NF-

KB ACTIVITY"

Enclosure(s):

Transmittal (1 pg.)

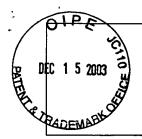
Amendment in response to Office Action 6.6.03 (7 pgs.)

Postcard

EXPRESS MAIL LABEL NO.EV 333 999 101 US Acknowledge Receipt of enclosures By imprinting PTO Date Stamp and Returning to addressee

Date Mailed: September 8, 2003

Filing Date: June 18, 2001



EXPRESS MAIL NO. EV333998579US

COMMUNICATION

Address to: Commissioner for Patents PO Box 1450 Alexandria, VA 22313

Attorney Docket	UCAL-234
Confirmation No.	1891
First Named Inventor	CHEN
Application Number	09/884,875
Filing Date	June 18, 2001
Group Art Unit	1636
Examiner Name	G. Leffers
Title	METHODS FOR IDENTIFYING
	MODULATORS OF NF-KB
	ACTIVITY

Sir:

This Communication is responsive to the Notice of Non-Responsive Amendment dated December 5, 2003 for which a one-month period was given making this response due on or before January 5, 2004. Accordingly, this response is timely filed.

Attached please find the Response to Office Action dated June 6, 2003 as mailed to the U.S. Patent and Trademark Office on September 8, 2003.

The Commissioner is hereby authorized to charge any underpayment of fees associated with this communication, including any necessary fees to Deposit Account No. 50-0815, order number UCAL-234.

> Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: Deamby 15,000

By:

Registration No. 52,581

BOZICEVIC, FIELD & FRANCIS LLP 200 Middlefield Road, Suite 200 Menlo Park, CA 94025

Telephone: (650) 327-3400 Facsimile: (650) 327-3231

F:\DOCUMENT\UCAL\234\Communication.doc

DATE: December 15, 2003

2UP728PPEEEV3

THE EXPRESS MAIL NUMBER OF THE DAY IS: EV333998579US
The person taking the Express Mail to the Post Office is: Alicia Silvestrini



EXPRESS MAIL CERTIFICATION

<u>Date of Deposit: 12/15/03</u> I hereby certify that the below-listed papers or fees were inserted into a package addressed to: Commissioner for Patents, PO BOX 1450, Alexandria, Virginia 22313-1450 and was deposited by me with the United States Postal Service "Express Mail Post Office Addressee" service under 37 C.F.R. § 1.10 on the date indicated above.

Signature	Whilvest.	Date 12/15/03

Atty. Docket No.	Serial Number	Description	Atty.	Fee
STAN-330	09/135,238	PTOL-85B in duplicate	JSK	\$1,630
SMAR- 012CIP	10/086,177	Transmittal, Certified Copies of Canadian Patent Application Serial Nos. 2,335,109 & 2,305,036	BEF	
UCAL-234	09/884,875	Transmittal, Communication, Copy of Notice of Non-Compliant Amendment, Copy of Amendment filed 09/08/03	EJB	
UCAL-275	10/444,633	Transmittal, Fee Transmittal in duplicate, Copy of Notice to File Missing Parts, Executed Declaration, Power of Attorney by Assignee, Copy of Assignment being Filed Concurrently (1 Month Extension of Time)	PAB	\$120
UCAL-275	10/444,633	Recordation Cover Sheet <i>in duplicate</i> , Executed Assignment	PAB	\$40
CONN-001	09/780,752	Transmittal, Interview Summary	PAB	
EPIT-001- /	10/638,210	Transmittal, Fee Transmittal <i>in duplicate,</i> Copy of Notice to File Missing Parts, Executed Declaration, Supplemental ADS	JSK	\$65